ORIGINAL RESEARCH

Vitreoretinal Specialist Use of Ancillary Testing: An IRIS[®] Registry Analysis

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Purpose: To investigate patterns of ancillary imaging testing among vitreoretinal specialists for patients with vitreoretinal disease in the United States (US).

Methods: Optical coherence tomography (OCT), color fundus photography (CFP), and fluorescein angiography (FA), ordered by vitreoretinal specialists and documented within the American Academy of Ophthalmology IRIS[®] Registry (Intelligent Research in Sight) between 01 January 2018 and 31 December 2020, were retrospectively assessed. Trends in imaging modality choice were analyzed by payer type, geographic region, and practice type. Sub-analyses were conducted according to categorization of vitreoretinal specialists into those treating a high versus low volume of patients with neovascular age-related macular degeneration (nAMD).

Results: OCT was the most common imaging modality used, followed by CFP and FA. Following normalization, the highest volume of OCT procedures performed were identified among Medicare Advantage and Medicare Fee-for-Service beneficiaries, within the South of the US, and at medium and large practices. Minimal differences were observed for CFP and FA volume across payer types and regions. Across practice types, the largest volume of CFP and FA procedures were identified in small and private equity owned practices, respectively. Vitreoretinal specialists with a high nAMD volume more frequently performed OCT than those with a low nAMD volume.

Conclusion: Vitreoretinal specialists demonstrated a strong preference for OCT, with real-world associations according to payer type, geographic location, and practice type. Volume of nAMD patients seen impacted the likelihood of specialists ordering OCTs.

Plain Language Summary: Optical coherence tomography (OCT), color fundus photography (CFP), and fluorescein angiography (FA) are key imaging modalities used by vitreoretinal specialists in routine eye care. This study aimed to uncover patterns of OCT, CFP, and FA usage in the United States from 2018 to 2020, and investigated the impact of volume of patients with neovascular agerelated macular degeneration on these trends. Higher rates of OCT use, compared with CFP and FA, were identified in the US.

Keywords: color fundus photography, fluorescein angiography, optical coherence tomography, retinal disease, vitreoretinal disease

Introduction

Since optical coherence tomography (OCT) was introduced into clinical practice, the usage patterns of ancillary imaging tests, in vitreoretinal specialists' offices have shifted dramatically.¹ As an evolving, rapid, and non-invasive technique of high-resolution retinal imaging with devices from multiple commercial manufacturers available, the use of OCT has increased steadily over the last three decades, coinciding with a decline in traditional techniques such as color fundus photography (CFP) and fluorescein angiography (FA).^{2–4} The recent American Academy of Ophthalmology (Academy) Preferred Practice Pattern[®] guidelines highlight the advancing and important clinical role of OCT for effectively visualizing and quantitatively assessing vascular and capillary-level structural abnormalities and conditions such as diabetic retinopathy (DR), retinal/ophthalmic occlusions, and retinal/ophthalmic neovascularization.^{5–8} Indeed, studies have indicated that OCT has surpassed the more invasive CFP and FA modalities in certain regions in the United States

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(US) as a key management tool for sight-threatening conditions such as neovascular age-related macular degeneration (nAMD).² Furthermore, OCT is generally well accepted tolerated among patients during follow-up treatment for nAMD due to its non-invasive nature.⁶

Current clinical usage of OCT, CFP, and FA, however, is unclear due to a paucity of recent or inclusive clinical studies investigating eye care trends. Available data primarily addresses eye care within specific population subgroups, such as Medicare Fee-for-Service and Medicaid Services beneficiaries or those located within a single institution, which may not reflect the general population.^{2,3,9} Systematic analyses of recent imaging trends using larger and more-inclusive real-world data sets are thus imperative to elucidate current healthcare delivery practices and to optimize application of imaging data.

The Academy IRIS[®] Registry (Intelligent Research in Sight) is the largest electronic health record (EHR)-based registry of eye disease and conditions within the US that represents over 70% of all ophthalmology clinic visits in the country.¹⁰ Moreover, the IRIS Registry contains demographic and geographic distributions consistent with that of the entire population. This study aimed to investigate patterns of ancillary imaging modalities ordered by vitreoretinal specialists within the IRIS Registry and to assess recent trends according to patient payer type, geographic region, and practice type.

Methods

Study Design and Study Outcomes

This was a retrospective, observational analysis of ancillary imaging testing procedures (OCT, FA, and CFP) ordered by vitreoretinal specialists for patients with vitreoretinal disease during the three-year study period (January 01, 2018, and December 31, 2020). Vitreoretinal diseases included AMD, DR, diabetic macular edema (DME), and retinal vein occlusion (RVO). De-identified data were extracted from the IRIS Registry and used to describe the volume of ancillary testing. The primary outcome of this study was to investigate trends in ancillary image testing procedures ordered by vitreoretinal specialists according to patient payer type, geographic region, and practice type. This study also investigated trends in OCT selection by vitreoretinal specialists who treated a high volume of patients with nAMD versus those who treated a low volume of patients with nAMD.

Data Collection

Patient payer type, geographical region, and practice type were collected on the index date, defined as the date on which the ancillary test was ordered. Current Procedural Terminology (CPT) coding was used to identify ancillary testing procedures documented in the IRIS Registry during the study period (<u>Supplemental Table S1</u>). Self-identified vitreoretinal specialists were identified by National Provider Identifier (NPI) number. Procedures ordered by vitreoretinal specialists were determined by International Classification of Diseases (ICD) coding. Procedures were not considered exclusively; among any individual patient for whom multiple procedures had been concurrently ordered, each procedure was independently included in the study analysis. Patients with nAMD were identified via ICD coding (<u>Supplemental Table S2</u>).

Statistical Analysis

Categorical variables were presented as frequency and percentage. Each procedure type was analyzed according to payer type (commercial, government, Medicaid, Medicare Fee-for-Service, Medicare Advantage, and military), geographic region, and practice type (small, medium, or large community practices, private equity practices, and academic medical centers [AMC]). Private equity practices were considered those owned by an investment firm. Community practices were stratified by size (small, medium, large practice size) according to natural cut-off points in the distribution of observed practice visit volumes. Vitreoretinal specialists were further categorized according to those who treated a high versus a low volume of patients with nAMD during the study period. A high volume of nAMD patients was considered ≥ 256 patients seen over the three-year study period by an individual vitreoretinal specialist.

Normalization analyses were calculated for subgroups of patients as follows: number of procedures divided by number of patients treated by vitreoretinal specialists in the IRIS Registry. Normalization of OCT procedures among high

or low volume nAMD vitreoretinal specialists was calculated as follows: total OCTs performed by nAMD vitreoretinal specialists divided by the total number of nAMD vitreoretinal specialists. Chi-square testing was used to assess statistical significance. Supplemental Materials are provided in an online-assessable document.

Results

Procedures

Over the three-year study period, OCT was the most common procedure (n = 19,357,406; 76.4%), followed by CFP (n = 4,071,507; 16.1%) and FA (n = 1,894,336; 7.5%), as ordered by distinct vitreoretinal specialists (n = 1805, n = 1847, and n = 1798, respectively) (Table 1). The volume of each procedure ordered was mostly consistent across time, with a notable decrease observed in March 2020 (Figure 1).

Procedures by Payer, Region, and Practice

Among patients with documented payer categorization, all three procedures were most common in the Medicare Fee-for-Service (OCT: 38.75%, CFP: 35.31%; FA: 36.50%) or Medicare Advantage (OCT: 26.23%; CFP: 21.25%; FA: 21.66%) groups. Each procedure was most prominent in the South (OCT: 38.37%; CFP: 43.69%; FA: 41.58%) and lowest in the Midwest (OCT: 20.11%; CFP: 13.52%; FA: 13.49%). Across practice types, the greatest number of each procedure was ordered at medium practices (OCT: 40.83%; CFP: 43.49%; FA: 38.28%) and the lowest volume at AMCs (Table 2).

Following normalization, the volume of OCTs ordered by vitreoretinal specialists was highest for Medicare Advantage and Medicare Fee-for-Service patient payer groups, while the volume of CFP and FA were similar across all payer groups (Figure 2A). The largest proportion of OCTs was identified in the Midwest. Further regional differences were minimal for OCT, CFP, FA (Figure 2B). OCT was most often ordered at large or medium practices, CFP at small, medium, or private equity practices, and FA at private equity practices (Figure 3C). Denominators used for data normalization are provided in <u>Supplemental Table S3</u>.

Vitreoretinal Specialists Treating a High versus Low Volume of Patients with nAMD

A total of 1072 and 848 vitreoretinal specialists saw a low and high volume of nAMD patients during the study period, respectively. Overall, both low and high volume nAMD vitreoretinal specialists most often ordered an OCT for Medicaid (29.52% and 27.56%, respectively) and commercial (20.89% and 21.21%, respectively) payer groups. Low volume nAMD vitreoretinal specialists most often ordered OCTs at medium sized practices (40.03%), whereas high volume nAMD vitreoretinal specialists ordered a similar volume of OCTs at both medium (41.37%) and large (41.48%) practices. OCTs were most often ordered by both groups in the South (34.97% and 40.17%, respectively; Table 3).

Following normalization, both high and low volume nAMD vitreoretinal specialists most often order OCTs for Medicare Fee-for-Service and commercial payers (Figure 3A). High volume vitreoretinal specialists most often ordered OCTs in the Northeast, while low volume nAMD vitreoretinal specialists most often ordered OCTs in the West (Figure 3B). The number of OCTs ordered by high volume nAMD vitreoretinal specialists was similar across small, medium, and large practices, with a lower volume at private equity practices and AMCs. A similar volume of OCTs were

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sts, n (%)	Vitreoretinal Specialists, n (* (n = 5450)	Patients, n (%) (n = 7,480,125)	Procedures, n (%) (n = 25,323,249)			
	1805 (33.1)	4,117,766 (55.1)	19,357,406 (76.4)	ост		
	1847 (33.9)	2,156,915 (28.8)	4,071,507 (16.1)	CFP		
	1798 (33.0)	1,205,444 (16.1)	1,894,336 (7.5)	FA		
	1805 (33.1) 1847 (33.9)	4,117,766 (55.1) 2,156,915 (28.8)	19,357,406 (76.4) 4,071,507 (16.1)	CFP		

Table ITotalNumber ofDistinctProcedures,Patients,andVitreoretinalSpecialistsOver theThree-YearStudyPeriod

Abbreviations: CFP, color fundus photography; FA, fluorescein angiography; OCT, optical coherence tomography.

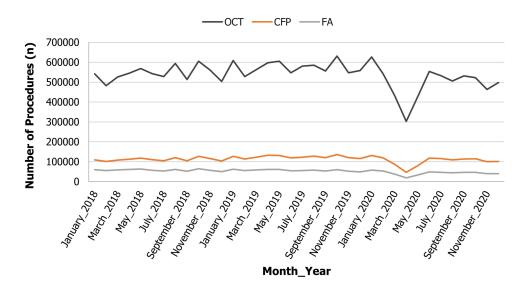


Figure I Number of imaging procedures ordered per month over the three-year study period from 2018 to 2020. Abbreviations: CFP, color fundus photography; FA, fluorescein angiography; OCT, optical coherence tomography.

ordered by low volume nAMD vitreoretinal specialists across small, medium, large, and private equity practices, with a lower volume ordered at AMCs (Figure 3C).

Discussion

Given the reliance of vitreoretinal specialists upon ancillary imaging testing for routine eye care and the increasing use of multimodal imaging in the management of retinal patients, identifying real-world trends of ophthalmic imaging utilization in clinical practice is an important initial step toward understanding and optimizing eye care management. With the

Category	OCT (n = 19,357,406)	CFP (n = 4,071,507)	FA (n = 1,894,336)	
Payer type, n (%) p<0.01	17,813,492 (100)	3,644,054 (100)	1,690,282 (100)	
Commercial	5,163,438 (28.99)	1,286,816 (35.31)	552,333 (32.68)	
Government	210,594 (1.18)	55,752 (1.53)	24,064 (1.42)	
Medicaid	659,416 (3.70)	192,363 (5.28)	108,217 (6.40)	
Medicare Fee-for-Service	6,903,244 (38.75)	1,286,857 (35.31)	616,914 (36.50)	
Medicare Advantage	4,672,412 (26.23)	774,640 (21.25)	366,037 (21.66)	
Military	204,388 (1.15)	47,626 (1.31)	22,717 (1.34)	
Region, n (%) P<0.01	18,847,576 (100)	3,944,777 (100)	1,849,239 (100)	
Midwest	3,789,800 (20.11)	533,259 (13.52)	249,540 (13.49)	
South	7,231,720 (38.37)	1,723,341 (43.69)	768,944 (41.58)	
West	4,005,228 (21.25)	917,792 (23.27)	476,731 (25.78)	
Northeast	3,820,828 (20.27)	770,385 (19.53)	354,024 (19.14)	
Practice type, n (%) P<0.01	19,328,283 (100)	4,071,450 (100)	1,895,306 (100)	
Small Practice	2,264,933 (11.72)	599,219 (14.72)	265,431 (14.00)	
Medium Practice	7,890,987 (40.83)	1,770,708 (43.49)	725,546 (38.28)	
Large Practice	7,308,971 (37.81)	1,161,105 (28.52)	567,418 (29.94)	
Private Equity	1,456,057 (7.53)	459,240 (11.28)	308,391 (16.27)	
AMC	407,335 (2.11)	81,178 (1.99)	28,520 (1.50)	

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lable	2 Distinct	Procedures	Over	the	I hree-Year	Study	Period

Note: Values presented in bold font indicate the total number of procedures recorded per category.

Abbreviations: AMC, academic medical center; CFP, color fundus photography; FA, fluorescein angiography; OCT, optical coherence tomography.

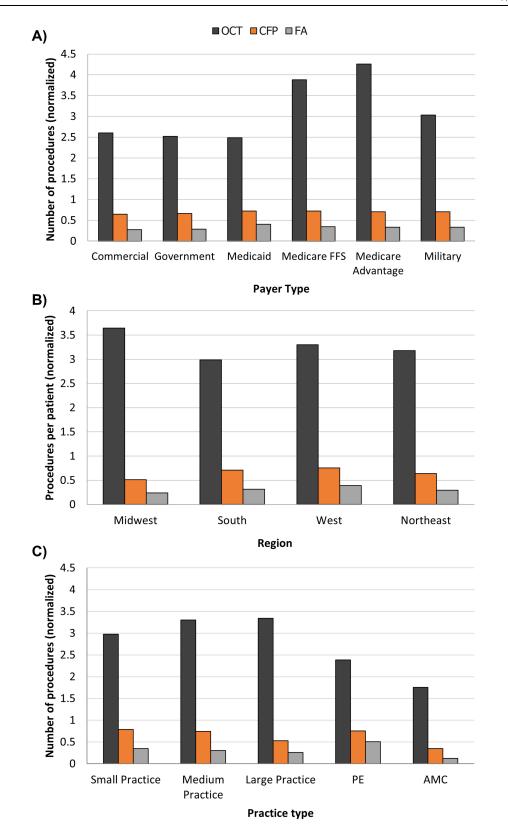
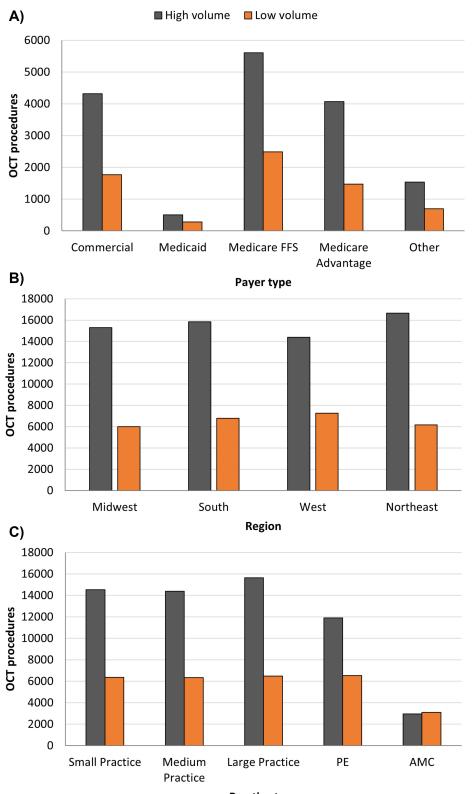


Figure 2 Normalized number of ancillary imaging tests per patient according to (A) payer type, (B) region, and (C) practice types during the study period from 2018 to 2020. Abbreviations: AMC, academic medical center; CFP, color fundus photography; FA, fluorescein angiography; FFS, fee-for-service; OCT, optical coherence tomography; PE, private equity.



Practice type

Figure 3 Normalized number of OCT procedures ordered by vitreoretinal specialists who saw a high volume versus a low volume of nAMD patients by (A) payer type, (B) region, and (C) practice type.

Abbreviations: AMC, academic medical center; nAMD, neovascular age-related macular degeneration; CFP, color fundus photography; FA, fluorescein angiography; OCT, optical coherence tomography; PE, private equity.

Category	Low Volume of	nAMD	High Volume of nAMD		
	Vitreoretinal Specialists	ост	Vitreoretinal Specialists	ост	
Payer type, n (%)	1,072 (100)	8,009,951 (100)	848 (100)	16,383,507 (100)	
Commercial	948 (88.43)	1,673,590 (20.89)	805 (94.93)	3,474,632 (21.21)	
Government	894 (83.40)	252,158 (3.14)	799 (94.22)	405,983 (2.48)	
Medicaid	951 (88.71)	2,364,771 (29.52)	806 (95.04)	4,515,174 (27.56)	
Medicare FFS	937 (87.41)	1,383,516 (17.27)	805 (94.93)	3,276,203 (19.00)	
Medicare Advantage	944 (88.06)	662,326 (8.27)	805 (94.93)	1,236,883 (7.54)	
Military	948 (88.43)	1,673,590 (20.89)	805 (94.93)	3,474,632 (21.21)	
Practice type, n (%)	1,072 (100)	6,338,771 (100)	848 (100)	12,932,457 (100)	
Small Practice	162 (15.11)	1,029,981 (16.25)	85 (10.02)	1,235,318 (9.55)	
Medium Practice	400 (37.31)	2,537,239 (40.03)	372 (43.87)	5,350,639 (41.37)	
Large Practice	300 (27.99)	1,944,675 (30.68)	343 (40.45)	5,364,326 (41.48)	
Private Equity	73 (6.81)	476,208 (7.51)	80 (9.43)	952,717 (7.37)	
AMC	113 (10.54)	350,668 (5.53)	10 (1.18)	29,457 (0.23)	
Region, n (%)	1,072 (100)	6,096,565 (100)	848 (100)	12,697,644 (100)	
Midwest	178 (16.60)	1,068,294 (17.52)	177 (20.87)	2,708,986 (21.33)	
South	314 (29.29)	2,131,701 (34.97)	322 (37.97)	5,100,852 (40.17)	
West	220 (20.52)	1,597,332 (26.20)	167 (19.69)	2,405,256 (18.94)	
Northeast	211 (19.68)	1,299,238 (21.31)	149 (17.57)	2,482,550 (19.55)	

Note: Values presented in bold font indicate the total number of procedures recorded per category.

Abbreviations: AMC, academic medical center; nAMD, neovascular age-related macular degeneration, FFS, fee-for-service; OCT, ocular coherence tomography.

rapid evolution of ophthalmic imaging technology over recent decades, OCT has become a primary modality of choice for the diagnosis and clinical management of retinal pathologies. Indeed, several advantages of OCT have promoted its adoption of this imaging modality above that of CFP or FA, including its non-invasive nature and the generation of diagnostic, high-resolution cross-sectional structural images. Consistent with contemporary clinical expectations,^{6,8} this three-year study of the IRIS Registry demonstrated a consistently higher rate of OCT usage by vitreoretinal specialists compared with the historical CFP and FA modalities, with certain regional variations noted. All imaging modalities demonstrated a decreased usage rate in March 2020, coinciding with the onset of the COVID-19 pandemic, with a return to pre-pandemic volumes observed by June 2020.

Previous analyses conducted within a Medicare Fee-for-Service population revealed OCT as the primary imaging modality of choice among vitreoretinal specialists between 2012 and 2016, with a concurrent decrease in traditional methods such as FA and CFP.³ Importantly, unlike this previous study, the current analyses confirms these trends in a population comprising of multiple insurance coverages, likely allowing findings to be more generalizable. As this study looked beyond Medicare Fee-for-Service populations, this data may also be more suitable for examining conditions prevalent in younger age groups. Similar trends were observed within the Clinformatics nationwide claims database for patients with conditions such as DME and nAMD, with a 23-fold increased use of OCT from 2001 to 2009.² Unlike the current study however, these publications reported a steady increase in OCT over time, likely reflecting the introduction and subsequent growth of intravitreal anti-vascular endothelial growth factor (VEGF) for the treatment of nAMD in the early 2000s.^{11–13} Characterized by choroidal neovascularization, nAMD is a leading cause of vision loss for which anti-VEGF therapy has become the gold standard.^{14,15} Due to associated costs, risks, and the high clinical burden, however, OCT has become a fundamental part of monitoring anti-VEGF treatment response to allow for more cost-effective and individualized treatment practices.^{16,17} Thus, as the current study evaluated a more recent time period than prior studies, the growth in OCT use had likely stabilized.

Several payer, regional, and practice variations were observed within this study. Normalized rates of OCTs per patient were highest in the Midwest and lowest in the South, with inverse trends for CFP and FA, as shown previously.³ Medicare Advantage and Medicare Fee-for-Service patients received more OCTs per year than others, while those cared for in AMCs received fewer OCTs than in other practice settings. Overall, these variations may be partially attributed to differences in population demographics and clinical characteristics. According to the 2020 US Census, the largest proportion of people aged ≥ 65 years was located in the Midwest,¹⁸ potentially contributing to the high volume of patients with nAMD within this region and, thus, the use of OCT. Indeed, this study showed that vitreoretinal specialists with a high nAMD volume more often ordered OCTs per patient than those with a low nAMD volume, and those located within the Midwest were more often classified as high volume nAMD vitreoretinal specialists. Similarly, higher volumes of nAMD were observed in the Medicare Fee-for-Service population, while low volumes were identified within AMC settings, aligning with the overall rates of OCT in this study. Interestingly, the Medicaid population was least likely to receive OCTs, and will be a topic of future research. Collectively, these findings suggest that geographic location and payer type are interconnected factors contributing to real-world ancillary imaging choices; however, further research will be required to determine the underlying causes for these trends.

This study has certain limitations and strengths. While macrolevel trends are important to better understand population-based eye care, our study did not analyze patient level indications for imaging. Improvements in imaging technology and analytics, as well as the advent of newer therapeutic options will have an impact on imaging trends and evolving clinical guidelines. The IRIS Registry does not capture data for all AMCs or for non-EHR practices, and thus may not represent clinical settings external to the participating sites. Ancillary imaging tests received by the study population may also be performed with other conditions, which were not evaluated in the study. Also, OCT angiography could not accurately been considered during these analyses, due to the lack of unique CPT code. Strengths of the study include the large, national cohort of practitioners assessed within the IRIS Registry, the standardized reporting of procedures through billing documentation, and the longitudinal nature of the data.

Conclusion

This study evaluating variations in ancillary testing use documented within the Academy's IRIS Registry demonstrated a strong preference among vitreoretinal specialists for OCT, with important associations according to payer type, geographic location, and practice type. Understanding real-world trends in ophthalmic imaging utilization is key to elucidating overall healthcare delivery patterns by vitreoretinal specialists and optimizing the clinical application of imaging data. As OCT is used for the treatment of multiple retinal diseases, future work will focus upon OCT usage patterns among additional patient subpopulations such as those with DR.

Abbreviations

AMC, academic medical center; CFP, color fundus photography; CPT, Current Procedural Terminology; EHR, electronic health records; FA, fluorescein angiography; ICD, international classification of disease; nAMD, neovascular age-related macular degeneration; OCT, optical coherence tomography; VEGF, vascular endothelial growth factor; US, United States.

Data Sharing Statement

Data from the IRIS Registry is accessible via the Academy. More information is available at <u>https://www.aao.org/iris-registry</u>.

Ethics Approval and Informed Consent

This study was approved by the Western Institutional Review Board-Copernicus Group (WCG[®] IRB). Patient consent was not required for this study due to the de-identified nature of the data extracted from the IRIS Registry.

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Disclosure

SV, AL, and MM are employees of Verana Health. DB is a consultant for AbbVie/Allergan, Glaukos, Genentech, Iveric Bio, and Verana Health. TL reports receiving grants and contracts from Astellas, consulting fees from Alcon, Apellis, Graybug, Regeneron, Boehringer Ingelheim, Kanaph, Nanoscope Therapeutics, Protagonist Therapeutics, Roche/ Genentech, and Verana Health. The authors report no other conflicts of interest in this work.

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